

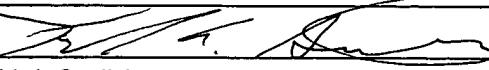
0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office		Application Number	10/020,515
TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>		Filing Date	December 7, 2001	
		First Named Inventor	Guy G. Riddle	
		Group Art Unit Number	2141	
		Examiner Name	Bunjob Jaroenchonwanit	
Total Number of Pages in This Submission	6	Attorney Docket Number	18602-06587 (P1517R1)	

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form (in duplicate) <input type="checkbox"/> Check Enclosed <input type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Response to Notice to File Missing Parts <input type="checkbox"/> Assignment & Recordation Cover Sheet <input type="checkbox"/> Declaration <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Application Data Sheet <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References <input checked="" type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Request for Correction of Recorded Assignment <input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final <input type="checkbox"/> Status Request <input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/> Issue Fee Transmittal <input type="checkbox"/> Letter to Chief Draftsperson <input type="checkbox"/> Formal Drawing(s): <input type="checkbox"/> [] Sheet(s) of Figure(s) [] <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> After Allowance Communication to Group <input checked="" type="checkbox"/> Exh. A: Copy of Original Reissue Transmittal <input checked="" type="checkbox"/> Exh. B: Copy of Original Express Mail Label Indicating date of deposit with USPS <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

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REMARKS:	
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SIGNATURE OF ATTORNEY OR AGENT		
Signature:		
Attorney/Reg. No.:	Kirk A. Gottlieb, Reg. No. 42,596	Dated: July 29, 2003

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Facsimile Number:	1-703-746-9195	

10/020,515 EXHIBIT D

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT: Guy G. Riddle

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APPLICATION NO.: 10/020,515

FILING DATE: December 7, 2001

TITLE: SYSTEM FOR TERMINATING MULTICAST CHANNEL AND DATA
BROADCAST WHEN AT LEAST TWO SECOND ENDPOINTS DO NOT
TRANSMIT POSITIVE ACKNOWLEDGEMENT MESSAGE TO FIRST
ENDPOINT

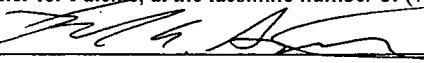
EXAMINER: Bunjob Jaroenchonwanit

GROUP ART UNIT: 2141

ATTY. DKT. NO.: 18602-06587 (P1517R1)

CERTIFICATE OF FACSIMILE TRANSMISSION

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Kirk A. Gottlieb, Reg. No. 42,596

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REQUEST FOR CORRECTED FILING RECEIPT

SIR:

Enclosed is a marked-up copy of the Official Filing Receipt. It contains the following error:

1. The filing date is erroneously stated as December 18, 2001. The correct filing date is December 7, 2001, as evidenced by a) a copy of the Reissue Patent Application Transmittal with the signed and dated Certificate of Mailing, which contains a proper certification under 37 CFR 1.10, and b) a copy of the Express Mail mailing label as processed by the United States Postal Service indicating that the Applicant's representative deposited the

package containing the application with the United States Postal Service on December 7, 2001. True copies of each of these documents marked, respectively, Exhibit A and Exhibit B are enclosed.

Please correct the records and issue a corrected Filing Receipt to the undersigned.

Respectfully submitted,

GUY G. RIDDLE

Dated: July 28, 2003

By: 
Kirk A. Gottlieb, Reg. No. 42,596
Attorney for Applicant
Fenwick & West LLP
Silicon Valley Center
801 California Street
Mountain View, CA 94041
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Fax: (415) 281-1350

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/020,515	12/18/2001 12/07/2001	2151	1290	18602-06587	27	20	7

00758
FENWICK & WEST LLP
TWO PALO ALTO SQUARE
PALO ALTO, CA 94306

R E C E I V E D

AUG 27 2002

FENWICK & WEST LLP

C O P Y

Date Mailed: 08/22/2002

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UPDATED FILING RECEIPT



OC000000008674195

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Guy G. Riddle, Los Gatos, CA;

C O P Y

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A REI OF 08/987,332 12/09/1997 PAT 5,999,977
WHICH IS A CON OF 08/468,715 06/05/1995 ABN
WHICH IS A CON OF 08/396,198 02/24/1995 PAT 5,854,898

Foreign Applications

If Required, Foreign Filing License Granted 03/07/2002

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

Title

System for terminating multicast channel and data broadcast when at least two second endpoints do not transmit positive acknowledgement message to first endpoint

Please type a plus sign (+) inside this box

PTO/SB/50 (4/98)

Approved for use through 09/30/2000. OMB 0651-0033

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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REISSUE PATENT APPLICATION TRANSMITTAL

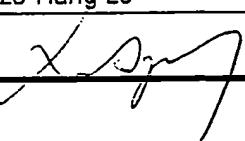
Address to: Box Reissue Commissioner For Patents Washington, DC 20231	Attorney Docket No.	18602-06587
	First Named Inventor	Guy G. Ridder
	Original Patent Number	5,999,977
	Original Patent Issue Date (Month/Day/Year)	December 7, 1999
	Express Mail Label No.	EL599907741US

APPLICATION FOR REISSUE OF: (check applicable box)

Utility Patent Design Patent Plant Patent

APPLICATION ELEMENTS		ACCOMPANYING APPLICATION PARTS	
1. <input type="checkbox"/> *Fee Transmittal Form ((PTO/SB/56) (Submit an original, and a duplicate for fee processing)		7. <input type="checkbox"/> Statement of status/support for all changes to the claims. See 37 CFR 1.173(c).	
2. <input checked="" type="checkbox"/> Specification and Claims (amended, if appropriate)		8. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
3. <input checked="" type="checkbox"/> Drawing(s) (proposed amendments, if appropriate)		9. <input type="checkbox"/> English Translation of Reissue Oath/Declaration (if applicable)	
4. <input checked="" type="checkbox"/> Reissue Oath/Declaration (unsigned) (37 C.F.R. § 1.175)(PTO/SB/51 or 52)		10. <input type="checkbox"/> *Small Entity <input type="checkbox"/> Statement filed in prior application Statement(s) <input type="checkbox"/> Status still proper and desired (PTO/SB/09-12)	
5. Original U.S. Patent		11. <input checked="" type="checkbox"/> Preliminary Amendment and Statement of status/ support for all changes to the claims. See 37 CFR 1.173(c).	
<input type="checkbox"/> Original U.S. Patent for Surrender <input type="checkbox"/> Ribboned Original Patent Grant <input type="checkbox"/> Statement of Loss (PTO/SB/55)		12. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
6. Original U.S. Patent currently assigned?		13. <input type="checkbox"/> Other: _____	
<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
(If Yes, check applicable box(es))			
<input type="checkbox"/> Written Consent of all Assignees (PTO/SB/53) <input type="checkbox"/> 37 C.F.R. § 3.73(b) Statement <input type="checkbox"/> Power of Attorney		*NOTE FOR ITEMS 1 & 10: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).	

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10/020,515 EXHIBIT B

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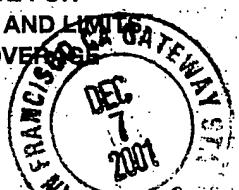


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DATE : 07-28 12:28PM
TO : 17037469195#
DOCUMENT PAGES : 007
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END TIME : 07-28 12:31PM
SENT PAGES : 007
STATUS : OK

FILE NUMBER : 419 ***** SUCCESSFUL TX NOTICE *****

COPY**FACSIMILE TRANSMISSION****CONFIDENTIAL****DATE:** July 28, 2003**CLIENT-MATTER NO.:** 18602-06587**TO:**

NAME	FAX NO.	PHONE NO.
Office of Initial Patent Examination Customer Service Center Commissioner for Patents	(703) 746-9195	(703) 308-1202

FROM: Kirk A. Gottlieb**PHONE:** (415) 875-2414**NUMBER OF PAGES WITH COVER PAGE:** 7 **ORIGINAL WILL NOT FOLLOW****MESSAGE:****REQUEST FOR CORRECTED FILING RECEIPT**

Applicant: Guy G. Riddle
App. No.: 10/020,515
Filing Date: December 7, 2001
Title: SYSTEM FOR TERMINATING MULTICAST CHANNEL AND DATA BROADCAST
WHEN AT LEAST TWO SECOND ENDPOINTS DO NOT TRANSMIT POSITIVE
ACKNOWLEDGEMENT MESSAGE TO FIRST ENDPOINT
Atty. Dkt. No. 18602-06587

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18602-06587/SP/5104149.1